#### **CITY OFBURNABY**

#### **BYLAW NO. XXXXX**

A BYLAW respecting code of conduct for Council and Committee members

The Council of the City of Burnaby ENACTS as follows:

## **PART 1: CITATION**

1.1 This Bylaw may be cited as **BURNABY CODE OF CONDUCT BYLAW 2023**.

#### PART 2: INTERPRETATION AND APPLICATION

#### 2.1 Definitions

2.1.1 In this Bylaw,

"bylaw" means this bylaw, including all schedules attached to this bylaw

"CAO" means the individual assigned the position of Chief Administrative

Officer for the **City** pursuant to section 147 of the *Community* 

Charter

"City" means the City of Burnaby

"committee" means a committee, sub-committee, task force, commission, board

or other body established by **Council** under the *Community* 

Charter, Local Government Act or other enactment

"committee member" means an individual appointed to a committee, whether or not the

individual is a Council member

"complaint" means an allegation, in accordance with the complaint procedure

set out in Part 5 of this bylaw, that a member has breached this

bylaw

"complainant" means an individual who has submitted a complaint

"Council" means the council of the City

"Council member" means the Mayor and Councillors for the City

"Corporate Officer" means the individual assigned the responsibility of corporate

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administration for the <b>City</b> pursuant to section 1	148 of	the <i>Community</i>
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Charter

"Director" means the City employee responsible for a division within a City

department

"General Manager" means the City employee responsible for a City department

"investigator" means an individual appointed pursuant to section 5.3.1 of this

bylaw

"member" means a Council member or a committee member

"respondent" means a member whose conduct is the subject of a complaint

"staff" means an employee, consultant or contractor of the City

"volunteer" means an individual, who is not a member, serving the City

without monetary remuneration

2.1.2 All references to the statutes, regulations, bylaws, orders, policies or guidelines of a federal, provincial, municipal or other governmental authority is a reference to such statute, regulation, bylaw, order, policy or guideline as amended or replaced from time to time.

## 2.2 Application

- 2.2.1 This **bylaw** applies to all **Council members** and **committee members**. For clarity, the provisions of this **bylaw** that reference **committee members** apply to **Council members** acting in their capacity as **committee members** as well as **committee members** who are not also **Council members**.
- 2.2.2 Unless otherwise provided, this **bylaw** does not apply to a **member's** conduct in their personal life, except to the extent that such conduct unreasonably undermines public confidence in **City** governance.
- 2.2.3 In this **bylaw**, a reference to a person who holds an office or position includes a reference to the persons appointed to act for that person from time to time.
- 2.2.4 This **bylaw** does not apply to the conduct of **City staff** or **volunteers**.
- 2.2.5 In the event of conflict between this **bylaw** and another **City** bylaw or policy governing **member** conduct, this **bylaw** prevails.

## PART 3: PRINCIPLES AND STANDARDS OF CONDUCT

# 3.1 Foundational Principles for Code of Conduct

- 3.1.1 The foundational principles for the code of conduct under this **bylaw** are:
  - (a) <u>Integrity</u>: Integrity is conduct and behaviour that respects and upholds the public interest and promotes public confidence in local government. Integrity is based on honesty and the active demonstration of the highest ethical standards and professionalism in all dealings.
  - (b) <u>Accountability</u>: Accountability is conduct and behaviour that exhibits the willingness to account for and accept responsibility for one's conduct, behaviours, words, actions and decisions.
  - (c) <u>Respect</u>: Respect is to behave and conduct oneself with politeness, honour, and care shown towards someone or something by demonstrating due regard, deference and consideration for the perspectives, wishes, beliefs, traditions, values and rights of others.
  - (d) <u>Leadership and Collaboration</u>: Leadership and collaboration are conduct and behaviour that demonstrates the ability to lead, guide, actively listen, mobilize and positively influence others, while encouraging people to come together to meet and work around a common goal or objective, or to resolve conflict through collective means and efforts.

## 3.2 Standards of Conduct

- 3.2.1 The foundational principles under section 3.1.1 of this **bylaw** are demonstrated by the following standards of conduct:
  - (a) <u>Integrity</u> is demonstrated by the following conduct:
    - (i) **Member** will be open and truthful in all **City** dealings, while protecting confidentiality where necessary or required.
    - (ii) **Members** will behave in a manner that promotes public confidence, including actively avoiding any perceptions of conflicts of interest, improper use of office or appointment, or unethical conduct.
    - (iii) **Members** will act in the best interest of the public and community.
    - (iv) **Members** will ensure actions are consistent with the shared principles, values, policies and bylaws of the **City**.
    - (v) **Members** will demonstrate the same ethical principles during both meetings

- that are open and closed to the public.
- (vi) **Members** will express sincerity when correcting or apologizing for any errors or mistakes made while carrying out official duties.
- (b) Accountability is demonstrated by the following conduct:
  - (i) **Members** will be transparent about how **members** carry out their duties and how **Council** or **committee** conducts business.
  - (ii) Members will ensure any information and decision-making processes are accessible to the public while protecting confidentiality where necessary or required.
  - (iii) **Members** will correct any mistakes or errors in a timely and transparent manner.
  - (iv) Members will accept and uphold that Council and committee are collectively accountable for City decisions, and that individual members are responsible and accountable for their behaviour and individual decisions.
  - (v) **Members** will listen to and consider the opinions and needs of the community in all decision-making and allow for public discourse and feedback.
  - (vi) **Members** will act in accordance with the law, which includes, but is not limited to, the statutes, bylaws, and policies that govern the **City**.
- (c) Respect is demonstrated by the following conduct:
  - (i) **Members** will treat other **members**, **City staff** and volunteers, and the public with dignity, understanding, and respect.
  - (ii) **Members** will acknowledge that people's beliefs, values, ideas, and contributions add diverse perspectives.
  - (iii) **Members** will create an environment of trust, including displaying awareness and sensitivity around comments and language that may be perceived as offensive or derogatory.
  - (iv) **Members** will refrain from any form of discriminatory conduct against another **member**, **City staff** and **volunteers**, or the public.
  - (v) **Members** will honour the offices of local government and fulfill their obligations of their office and appointment dutifully.
  - (vi) **Members** will recognize and value the distinct roles and responsibilities of

- City staff and volunteers.
- (vii) **Members** will call for and expect respect from the community towards **members** and **City staff** and **volunteers**.
- (viii) **Members** will ensure that public statements and social media posts that concern other **members**, **City staff** and **volunteers**, and the public are respectful.
- (d) <u>Leadership and collaboration</u> are demonstrated by the following conduct:
  - (i) **Members** will demonstrate behaviour that builds public confidence and trust in the **City**.
  - (ii) Members will provide considered direction on City policies and support other members and City staff to do the same.
  - (iii) **Members** will educate colleagues and staff on the harmful impacts of discriminatory conduct, and take action to prevent this type of conduct from reoccurring if necessary.
  - (iv) **Members** will create space for open expression by others, take responsibility for one's own actions and reactions, and accept the decisions of the majority.
  - (v) **Members** will advocate for shared decision-making and actively work with other **members**, **City staff** and **volunteers**, the public, and other stakeholders to achieve common goals.
  - (vi) **Members** will foster positive working relationships amongst **members**, **City staff** and **volunteers**, and the public.
  - (vii) **Members** will commit to building mutually beneficial working relationships with neighbouring First Nations to further advance reconciliation efforts.
  - (viii) **Members** will positively influence others to adhere to the foundational principles and standards for this code of conduct in all **City** dealings.

# 3.3 Interpretation

3.3.1 The principles and standards set out in sections 3.1.1 and 3.2.2 are to inform the interpretation of the provisions of this **bylaw** and are not stand-alone reasons for a **complaint**.

#### PART 4: CODE OF CONDUCT

## 4.1 General Conduct

- 4.1.1 A **member** shall not:
  - (a) contravene this **bylaw**;
  - (b) contravene any City bylaw or policy; or
  - (c) contravene a Provincial or federal enactment.
- 4.1.2 A **member** shall treat other **members** and **City staff** and **volunteers** with respect and dignity.
- 4.1.3 A **Council member** shall not:
  - (a) breach their oath sworn upon taking office as a Council member; or
  - (b) abuse their office.
- 4.1.4 A **member** shall act with decorum at **Council** and **committee** meetings and in accordance with City bylaws and policies relating to conduct at meetings, including but not limited to the Burnaby Procedure Bylaw.
- 4.2 Interactions with City Staff, Volunteers and Other Members
- 4.2.1 A **member** shall direct inquiries regarding departmental issues or questions to the **CAO**, **General Manager** or **Director** of the appropriate department and refrain from contacting **City staff** or **volunteers** directly, unless the issue or question is minor and for the purpose of seeking administrative clarity.
- 4.2.2 A **member** shall not interfere with, hinder or obstruct another **member** or **City staff** or **volunteer** in the exercise of performance of their roles, responsibilities, powers, duties or functions. A **member** shall respect that it is the role of City **staff** and **volunteers** to provide neutral and objective information without undue influence and interference.
- 4.2.3 A **member** shall not:
  - (a) request or require a **City staff** or **volunteer** to undertake personal or private work on behalf of a **member**;
  - (b) request or require a **City staff** or **volunteer** to engage in partisan political activities or subject them to reprisal of any kind for refusing to engage in such activities;
  - (c) directly or indirectly request, induce, encourage, aid, or permit a City staff or

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**volunteer** to do something which, if done by the **member**, would be a breach of this **bylaw**.

## 4.3 Interactions with Public and Media

- 4.3.1 A **member** shall not communicate, or imply that the **member** is communicating, on behalf of the **City** unless expressly authorized to do so.
- 4.3.2 Without limiting the ability of a **member** to hold a position on an issue and respectfully express an opinion, a **member** shall ensure that:
  - (a) their communications relating to **Council** or **committee** matters are accurate and not **communicate** anything that the **member** knows, or ought to have known, to be false; and
  - (b) all communications by, and on behalf of a **member** are respectful and do not discriminate against, harass, disparage, demean or defame another **member** or any **City staff** or **volunteer**.
- 4.3.3 Outside of a **Council** or **committee** meeting, a **member** shall not communicate with a tenderer or proponent regarding the subject matter of a procurement by or on behalf of the **City**. A **member** shall not issue instructions to any of the **City's** contractors, tenderers, consultants or other service providers.

#### 4.4 Use of Social Media

- 4.4.1 The provisions of this **bylaw** apply to the use of a **member's** official social media accounts, and to a **member's** personal social media account to the extent that any **communication** or conduct on the personal social media account undermines public confidence in **City** governance.
- 4.4.2 **Members** shall regularly monitor their social media accounts and immediately take measures to deal with the publication of messages or postings by others that violate the provisions of this **bylaw**.

## 4.5 Collection and Handling of City Information

#### 4.5.1 A **member** shall:

- (a) comply with the *Freedom of Information and Protection of Privacy Act* and related regulations, policies and guidelines;
- (b) comply with section 117 of the *Community Charter* in respect to confidentiality, and all references to **Council members** in such provision shall be deemed to include **committee members** who are not **Council members**;

- (c) only access information held by the **City** for **City** purposes as it relates to their work as a **member** and not for any personal purpose of the **member** or any other person;
- (d) not delete or alter any City records, except in accordance with City policies.
- 4.5.2 A **member** shall promptly notify the **Corporate Officer** of any breach or suspected breach of section 4.5.1 of this **bylaw**, and in particular with respect to a breach or suspected breach of privacy, and comply and cooperate with all procedures and requirements for addressing the breach or suspected breach.
- 4.6 Conflict of Interest, Use of Influence, Gifts and Personal Benefits, Contracts, Insider Information and Criminal Charges
- 4.6.1 Sections 100 to 109.3 of the *Community Charter* shall apply to all **members**, including **members** who are not **Council members**, and all references to **Council members** in such provisions shall be deemed to include **committee members** to the extent that the provisions can apply to a **committee member** who is not a **Council member**.
- 4.6.2 In addition to procedures and remedies under the *Community Charter*, the procedures in Part 5 of this **bylaw** shall apply to an allegation about a breach of a matter under sections 100 to 109.3 of the *Community Charter*, as expanded by section 4.6.1 of this **bylaw**.
- 4.7 Use of City Property, Assets and Resources
- 4.7.1 A **member** shall protect at all times **City** property, assets and resources in their care or possession.
- 4.7.2 A **member** shall use **City** property, equipment and resources only for the performance of their duties as a **member** and not for any personal purposes, subject to the following limited exceptions:
  - (a) **City** property, equipment and resources that are available to the general public may be used by a **member** for personal use upon the same terms and conditions as the general public, including booking and payment of any applicable fees or charges; and
  - (b) electronic devices, including but not limited to desktop computers, laptops, tablets, and smartphones, which are supplied by the **City** to a **member**, may be used by the **member** for limited personal use, provided that the use is strictly personal and not offensive, inappropriate or for personal gain and complies with all **City** bylaws, policies, procedures and direction applicable to such electronic devices.

## PART 5: COMPLAINT AND RESOLUTION PROCEDURES

## 5.1 Confidential Requests

5.1.1 If a member, City staff or volunteer believes that they have been subject to conduct by a

- **member** in breach of this **bylaw**, that individual may approach the **CAO** on a confidential basis, without the need to file a **complaint**, to request that the **CAO** inform the **member** of the alleged breach.
- 5.1.2 Upon receipt of the confidential request pursuant to section 5.1.1, the **CAO** may attempt to address the conduct with the **member**, seek the assistance from an **investigator** or third party with an informal resolution, or request the individual to file a **complaint** in accordance with section 5.2 of this **bylaw**.
- 5.1.3 The **CAO** shall protect the confidentiality of the individual making a request under section 5.1.1 unless the individual making the request consents in writing to disclosure.

# 5.2 Complaints

- 5.2.1 A member, City staff or volunteer may submit a complaint to the CAO, or if the complainant is the CAO, then to the Corporate Officer.
- 5.2.2 A **complaint** shall be in writing and set out the following with sufficient detail:
  - (a) name of the **complainant**;
  - (b) name of the **respondent**;
  - (c) provisions of this **bylaw** alleged to have been breached;
  - (d) conduct alleged to constitute the breach;
  - (e) date or dates of the alleged breach;
  - (f) basis for the **complainant's** knowledge about the conduct;
  - (g) whether the **complainant** is willing to participate in an informal resolution of the **complaint**.
- 5.2.3 The **CAO** (or **Corporate Officer** if the **CAO** is the **complainant**) may accept a **complaint** that does not comply with section 5.2.2 of this **bylaw** if the circumstances warrant.

## 5.3 Investigator

- 5.3.1 Upon receiving a **complaint**, the **CAO** (or **Corporate Officer** if the **CAO** is the **complainant**) shall appoint an **investigator** to fulfil the duties and responsibilities under section 5.3.2 of this **bylaw**.
- 5.3.2 The duties and responsibilities of the **investigator** are as follows:
  - (a) to assist with informal resolution of a confidential request or **complaint**;
  - (b) to receive and assess a **complaint** to determine if the **complaint** shall be rejected, closed, resolved or investigated;
  - (c) to investigate and conduct inquiries as to alleged breaches of this bylaw;

- (d) to report to **Council** as to whether a **member** has breached this **bylaw**;
- (e) to make recommendations on appropriate remedies, if the **investigator** determines that a **member** has breached this **bylaw**.
- 5.3.3 The **investigator** shall perform the duties and responsibilities under this **bylaw** in an independent manner.
- 5.3.4 An **investigator** may be dismissed by the **CAO** (or **Corporate Officer** if the **CAO** is the **complainant**) for cause.
- 5.4 Complaints Review, Investigation, Resolution and Determination Procedures
- 5.4.1 The procedures in Schedule "A" of this **bylaw** shall apply to the review, investigation, resolution and determination of **complaints**.

## 5.5 Report to Public

- 5.5.1 Subject to section 5.5.2 of this **bylaw** and unless an investigation report is considered in a closed **Council** meeting in accordance with section 7.4 of Schedule "A" of this **bylaw**, the **investigator's** final investigation report or summary of the report shall be made available to the public after the **investigator** delivers the report to **Council** and the **complainant** and if required, **Council** has made a final determination in respect to the findings and recommendations of the report in accordance with section 7.1 of Schedule "A" of this **bylaw**. For certainty, where **Council** deliberated on an investigation report in a closed meeting in accordance with section 7.4 of Schedule A of this **bylaw**, the investigation report or summary of the investigation report shall not be publicly released.
- 5.5.2 In all circumstances, the **City** shall ensure that the investigation report or summary of the report complies with the **City's** obligations regarding disclosure of personal information set out in the *Freedom of Information and Protection of Privacy Act* and related regulations, and that all appropriate redactions are applies prior to any release of the investigation report or summary of the report to the public.

## 5.6 Reprisals and Obstruction

- 5.6.1 No person shall threaten, interfere with, or otherwise obstruct the **investigator** in relation to the **investigator** carrying out the duties and responsibilities under this **bylaw**.
- 5.6.2 No person shall threaten or undertake any reprisal against a **complainant** or against a person who provides information to the **investigator** in the context of an investigation.
- 5.6.3 No person shall will tamper with or destroy documents or electronic records related to any matter under investigation under this **bylaw** or refuse to respond to the **investigator** when questioned regarding an investigation.

5.6.4 Any **member** who is found to have engaged in any reprisal or retaliation in violation of this **bylaw** shall be subject to appropriate disciplinary action, which action may include and is not limited to the sanctions and remedies set out in section 8.1 of Schedule "A" of this **bylaw**.

# 5.7 Vexatious Allegations and Complaints

5.7.1 Any **member** who makes an allegation or **complaint** under this **bylaw** that is subsequently found to have been made in a vexatious or malicious manner, or otherwise to have been made in bad faith, shall be subject to appropriate disciplinary action, which action may include but is not limited to the sanctions and remedies set out in section 8.1 of Schedule "A" of this **bylaw**.

## 5.8 Reimbursement of Costs

- 5.8.1 A **member** may make a request to **Council** for reimbursement for the costs of legal advice and representation in responding to the formal **complaint** process set out in Schedule A of this **bylaw**. If appropriate after considering all circumstances, **Council** may resolve to reimburse legal fees reasonably incurred by a **member**, subject to the following:
  - (a) reimbursement is available only for a **member** participating their first formal **complaint** process; and
  - (b) the amount of reimbursement shall not exceed \$25,000.
- 5.8.2 For clarity, the Burnaby Indemnification Bylaw does not apply to requests for indemnification under this **bylaw**.

## **PART 6: SEVERABILITY**

If a portion of this **bylaw** is held invalid by a Court of competent jurisdiction, the invalid portion shall be severed and the remainder of this **bylaw** is deemed to have been adopted without the severed section, subsection, paragraph, subparagraph, clause or phrase.

Read a first time this	day of	, 2023
Read a second time this	day of	, 2023
Read a third time this	day of	, 2023
Reconsidered and adopted this	day of	, 2023

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MAYOR

CITY CLERK

#### **SCHEDULE "A"**

# COMPLAINTS REVIEW, INVESTIGATION, RESOLUTION AND DETERMINATION PROCEDURES

## 1.0 General Matters

- 1.1 The **investigator** shall not accept multiple **complaints** concerning the same matter. In the event that the **investigator** receives multiple **complaints** concerning the same matter, the **investigator** shall proceed with the first **complaint** accepted, and if appropriate, expand the **complaint** and/or add **complainants** for the purpose of conducting the investigation and preparing the investigation report.
- 1.2 The **investigator** shall reject a **complaint** received more than 90 days after the **complainant** knew, or reasonably ought to have known, of the alleged breach of this **bylaw**. The **investigator** is authorized to extend this deadline up to an additional 90 days if the circumstances warrant an extension.
- 1.3 The **investigator** shall reject a **complaint** received regarding a **member** seeking election or re-election in a local election, including a by-election, in the period from the first day of the nomination period to the general voting day.
- 1.4 In the 90 days prior to general voting day for a local election, including a by-election, the **investigator** may suspend any investigation that is underway.

## 2.0 Dismissal or Suspension of Complaint

- 2.1 If a **complaint** is submitted that, on its face, is not made with respect to a breach of this **bylaw**, or if a **complaint** would be more appropriately addressed through another process, including if the **complaint** is:
  - (a) with respect to non-compliance with the *Freedom of Information and Protection of Privacy Act*;
  - (b) with respect to non-compliance with a more specific **Council** policy or bylaw with a separate complaint procedure;
  - (c) with respect to a matter that is subject to another process, such as a Court proceeding or human rights complaint,

the **investigator** may reject the **complaint**, or part of the **complaint**, and shall notify the **complainant** and the **CAO** (or the **Corporate Officer** if the complainant is the **CAO**) in writing that the **complaint** is not within the jurisdiction of this **bylaw**, or that the **complaint** would be more appropriately addressed through another process, as the case may be, and set out any additional reasons and referrals the **investigator** thinks appropriate.

- 2.2 If the **investigator**, at any stage in the **complaint** procedure, determines that there are reasonable grounds to believe that there has been a contravention of the *Criminal Code* then the **investigator** shall immediately refer the matter to the appropriate authorities and suspend the investigation until any resulting investigation and charge have been finally disposed of, and shall report the suspension to the **CAO** and **Council**.
- 2.3 Where a **complaint** is made against a **member** who, during the course of the **complaint** procedure, ceases to hold office or an appointment, the **investigator** may close the **complaint** and notify the **complainant**, the **respondent** and the **CAO** (or the **Corporate Officer** if the complainant is the **CAO**) of this decision.

## 3.0 Preliminary Assessment

- 3.1 On receipt of a **complaint**, the **investigator** shall conduct a preliminary assessment and if at that time, or any time thereafter, the **investigator** is of the opinion that:
  - (a) the **complaint** is not with respect to a breach of this **bylaw**;
  - (b) the **complaint** is frivolous, vexatious, or not made in good faith;
  - (c) the investigation is or might be hampered, or the **member** might be prejudiced, by the **complainant's** failure to comply with section 5.2.2 of this **bylaw**, or otherwise cooperate with the investigation;
  - (d) the **complainant** wishes to withdraw the **complaint**, and it would be appropriate in the circumstances to allow the withdrawal;
  - (e) there are no grounds or insufficient grounds to conclude that a breach of this **bylaw** has occurred,

the **investigator** shall notify the **complainant**, the **respondent** and the **CAO** (or the **Corporate Officer** if the complainant is the **CAO**) in writing that the **investigator** is closing the **complaint**, set out the reasons for closing the **complaint**, and then close the **complaint**.

3.2 Notwithstanding section 3.1 above, the **investigator** may request further information from the **complainant** before deciding whether or not there are sufficient grounds for determining that a breach of this **bylaw** may have occurred.

## 4.0 Informal Resolution

4.1 When the **investigator** has decided to proceed with a **complaint**, the **investigator** shall determine whether the **complaint** requires a formal investigation, or whether the **complaint** may be resolved informally. In the latter case, the **investigator** may either attempt to resolve the **complaint** directly or refer the **complaint** to the **CAO**.

- 4.2 In making a determination under section 4.1 above, the **investigator** shall give a strong preference to the informal resolution process wherever possible.
- 4.3 When determining whether the **complaint** may be resolved informally, the **investigator** may consider culturally appropriate, transformative or restorative justice approaches, and may engage a third party to assist the **investigator** for this purpose.
- 4.4 Where the **investigator** refers the **complaint** to the **CAO** for informal resolution, the **CAO** may agree to assist in resolving the **complaint** directly, or may appoint at their discretion a third party to assist in resolving the **complaint**.
- 4.5 The third party assisting in the informal resolution of a **complaint** shall assess the suitability of the **complaint** for settlement or resolution on an on-going basis and may decline to assist at any point.
- 4.6 The **complainant** or the **respondent** may decline to participate in an informal resolution at any time.
- 4.7 If a **complaint** is resolved informally, the third party assisting in resolving the **complaint** shall notify the **investigator** in writing of the terms of the resolution, upon receipt of which, the **investigator** shall close the **complaint** and notify the **CAO** (or the **Corporate Officer** if the complainant is the **CAO**) of the informal resolution of the **complaint**.
- 4.8 If a **complaint** cannot be resolved informally, the third party assisting in resolving the **complaint** shall refer the **complaint** back to the **investigator** for a formal investigation.

## **5.0** Formal Resolution

- 5.1 If a **complaint** is not rejected, closed, or resolved informally, the **investigator** shall proceed with a formal investigation.
- 5.2 The **investigator** shall deliver the **complaint** to the **respondent** with a request that the **respondent** provide a written response to the **complaint** together with any submissions the **respondent** chooses to make within 10 days, subject to the **investigator's** discretion to extend the timeline.
- 5.3 The **investigator** may deliver the **complainant** with the **respondent's** written response together with any submissions, on a strictly confidential basis, and request a reply in writing within 10 days, subject to the **investigator's** discretion to extend the timeline.
- 5.4 The **investigator** may:
  - (a) speak to any person relevant to the **complaint**;
  - (b) request disclosure of documents relevant to the **complaint**;

- (c) access any record in the possession or control of the **City**, except a record that is subject to solicitor-client privilege.
- 5.5 The **investigator** shall ensure that the formal investigation complies with the rules of procedural fairness and natural justice required in the circumstances.

Notwithstanding section 5.1 above, nothing prohibits the **investigator** from summarily dismissing a **complaint** where it becomes apparent, after some investigation, that the **complaint** has no chance of success. If the **investigator** summarily dismisses a **complaint**, the **investigator** shall notify the **complainant**, the **respondent** and the **CAO** (or the **Corporate Officer** if the complainant is the **CAO**) in writing that the **investigator** is summarily dismissing the **complaint**, set out the reasons for the dismissal, and then close the **complaint**.

## 6.0 Adjudication and Reporting

- 6.1 The **investigator** shall make a decision within 90 days of making the determination to proceed with a formal investigation, unless the **investigator** determines that doing so is not practicable, in which case the **investigator** shall notify the **complainant** and **respondent** of the delay and provide a revised decision date. The revised decision date may be extended by periods of up to 30 days upon providing written notice to the **complainant** and the **respondent**.
- 6.2 A notification issued by the **investigator** pursuant to sections 2.1, 2.2, 3.1 or 6.1 of this Schedule is confidential and shall not be disclosed except in the following circumstances:
  - (a) to **Council** for the purpose of considering a request for reimbursement of legal fees pursuant to section 5.8 of this **bylaw**;
  - (b) the **respondent** may disclose the fact that the **complaint** has been closed, or that a finding has been made that the **respondent** did not breach this bylaw.
- 6.3 If after reviewing all material information relating to a **complaint**, the **investigator** determines that the **respondent** did not breach this **bylaw**, the **investigator** shall:
  - (a) prepare a written investigation report providing reasons for their determination that the **member** did not breach the **bylaw**; and
  - (b) deliver a copy of the investigation report to the **complainant**, **respondent**, the **CAO** (or the **Corporate Officer** if the complainant is the **CAO**) and **Council**.
- 6.4 If after reviewing all material information relating to a **complaint**, the **investigator** determines that a **member** did breach this **bylaw**, then the **investigator** shall:
  - (a) prepare a written investigation report providing reasons for their determination that

the member breached this bylaw;

- (b) in the investigation report, include recommendations as to the appropriate sanction for the breach;
- (c) if the **investigator** determines the **member** took all reasonable steps to prevent the breach, or that the breach was trivial or done inadvertently or because of an error in judgment made in good faith, state so in the investigation report and if appropriate, recommend that no sanction be imposed or alternatives to sanctions be imposed;
- (d) deliver, on a strictly confidential basis, a copy of the investigation report to the **respondent**; and
- (e) deliver a copy of the investigation report to the **complainant**, the **CAO** (or the **Corporate Officer** if the **complainant** is the **CAO**) and **Council** within forty-eight (48) hours after delivery of the investigation report to the **respondent**.
- 6.5 In all circumstances, the **investigator** may distribute the investigation report to **Council** through the **Corporate Officer**.

## 7.0 Final Determination by Council

- 7.1 **Council** shall, within 30 days of delivery of the investigation report pursuant to section 6.4(e) of this Schedule, or a longer period if approved by a 2/3 vote of all **Council members**, decide on the appropriate sanctions or measures, if any, that are warranted by the **respondent's** breach of this **bylaw**, and will take such actions as **Council** considers appropriate in the circumstances.
- 7.2 Prior to **Council** making any decision regarding the findings and recommendations set out in the investigation report delivered pursuant to section 6.4(e) of this Schedule, the **respondent** shall be provided with an opportunity, either in person or in writing, to comment on the **investigator's** decision and any recommended sanctions or measures.
- 7.3 While an investigation report provided to **Council** may be considered in a closed meeting for the purpose of receiving legal advice or for another valid reason pursuant to section 90 of the *Community Charter*, **Council** shall, subject to section 7.4 below, deliberate and vote on the investigation report and recommendations in an open meeting.
- 7.4 Notwithstanding section 7.3 above, **Council** may deliberate on and vote on a report in a closed meeting where there is a valid reason to close the meeting under section 90 of the *Community Charter*.

#### 8.0 Remedies and Sanctions

8.1 Sanctions that may be imposed for a breach of this **bylaw** include but are not limited to the following:

- (a) a letter of reprimand from **Council** addressed to the **member**;
- (b) a request from **Council** that the member issue a letter of apology;
- (c) the publication of the letters contemplated in subsections (a) and (b) above, together with the **member's** written response, if any;
- (d) a recommendation that the **member** attend specific training or counselling;
- (e) limitations on access to certain **City** facilities or services as determined by **Council**;
- (f) suspension or removal of the **member** from some or all **committees** to which the **member** was appointed;
- (g) prohibition from representing the **City** at events and/or attending conferences and seminars;
- (h) suspension or removal of the appointment of a **Council member** as the Acting Mayor;
- (i) public censure of a **member**;
- (j) any other sanction recommended by the **investigator**, provided such sanction is within the authority of **Council**.
- 8.2 The **investigator** may recommend that **Council** consider commencing an application for disqualification under section 111 of the *Community Charter* or for damages under section 117 of the *Community Charter*, as applicable.
- 8.3 Any sanction or measure imposed by **Council** under this **bylaw** is in addition to any remedy or consequence under the *Community Charter* where a breach of this **bylaw** also constitutes a violation of a provision of the *Community Charter*.
- 8.4 Nothing in this **bylaw** is intended to abrogate the power of the Mayor or **Council**, as applicable, to remove, at their pleasure and at any time, any **committee member** from any **committee** to which they have been appointed.

## 9.0 Confidentiality of Investigation

- 9.1 The **investigator** shall make all reasonable efforts to investigate **complaints** in confidence.
- 9.2 The **investigator** and every person involved with or who have knowledge of a **complaint** shall preserve confidentiality with respect to all matters that come into the person's knowledge in the course of any investigation or **complaint**, except where disclosure is

- required by law or Court order or the information has been released to the public without breach of confidentiality.
- 9.3 An investigation report shall only disclose such matters as, in the **investigator's** opinion, are necessary for the purpose of the investigation report.